

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

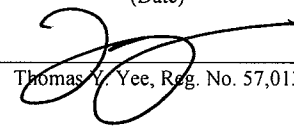
Applicant : James E. Malackowski et al.
Appl. No. : 09/814,547
Filed : March 22, 2001
For : SYSTEM FOR AND METHOD
OF RISK MINIMIZATION AND
ENHANCED RETURNS IN AN
INTELLECTUAL CAPITAL
BASED VENTURE
INVESTMENT
Examiner : D. Kesack
Group Art Unit : 3624

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Thomas Y. Yee, Reg. No. 57,013

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action of April 5, 2007, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Summary of Interview begins on page 6 of this paper.

Remarks/Arguments begin on page 7 of this paper.